

# Performance Evaluation of the ForenSeq mtDNA Control Region Solution

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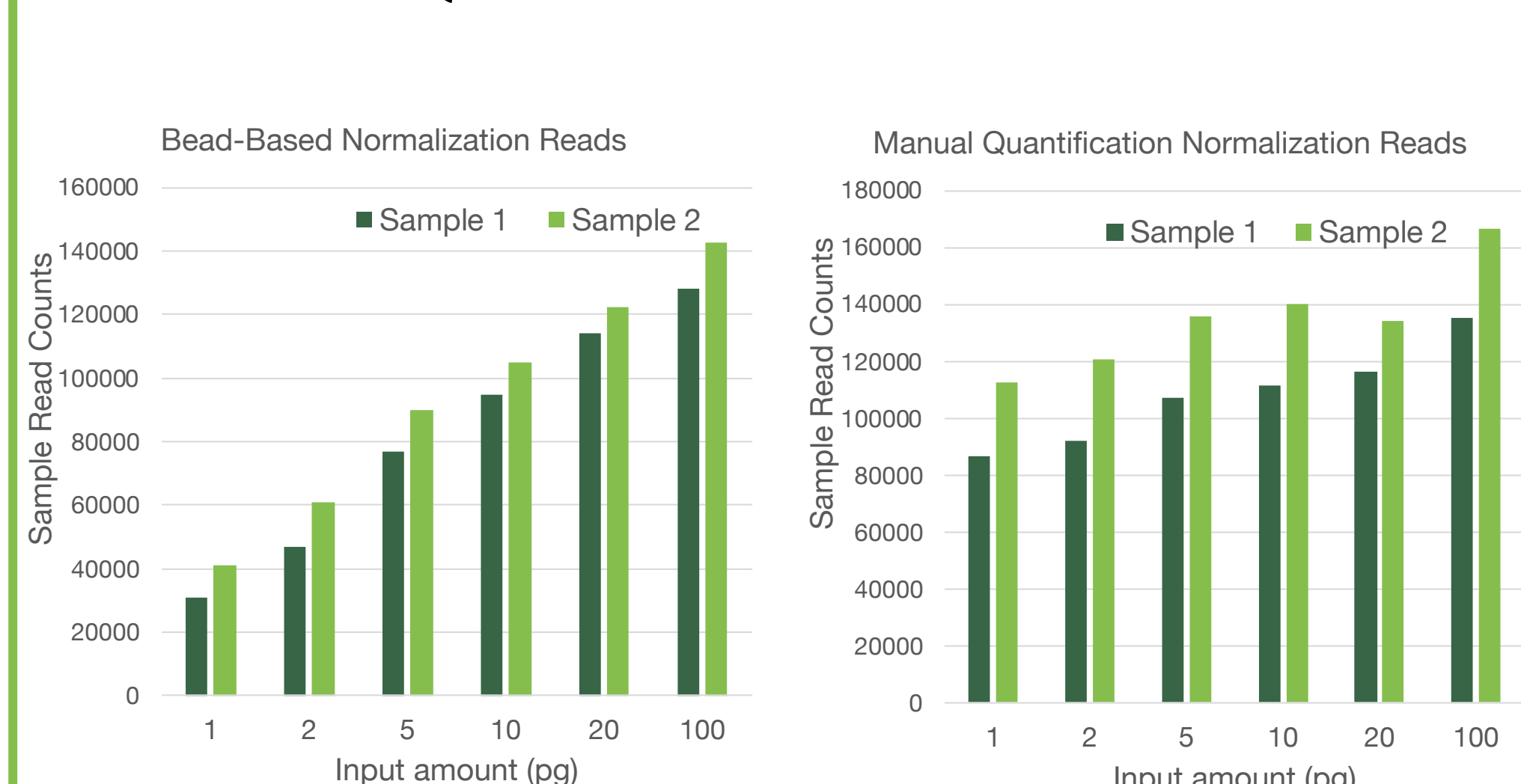
## Introduction

Massively parallel sequencing (MPS) of human mitochondrial DNA surpasses Sanger sequencing and capillary electrophoresis with regard to labor intensity, reagent quality control and data quantity and quality. These improvements assist forensic samples in the context of research studies, criminal and missing persons casework and disaster victim identification.

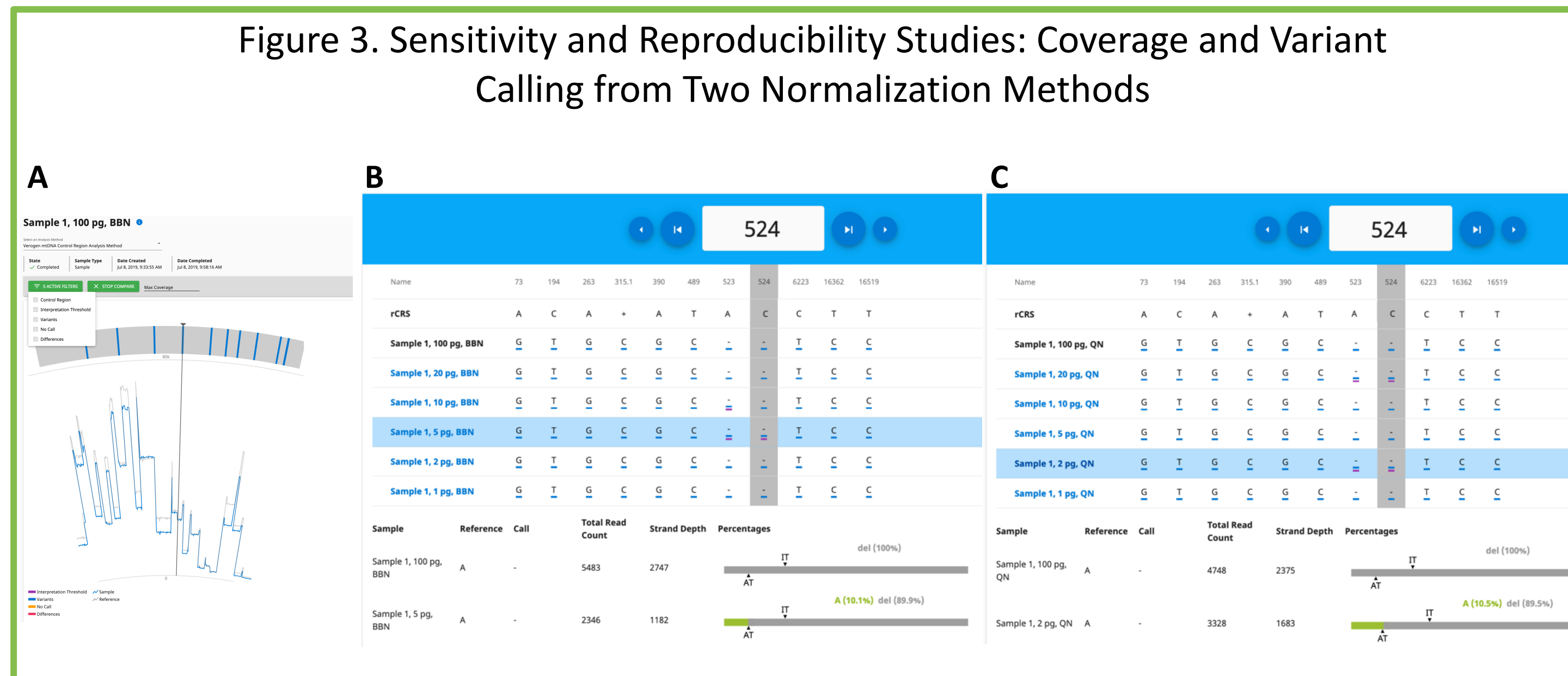
We present a brief overview of recent advances in mtDNA genome knowledge and resultant design improvements now achieved in forensic mtDNA analysis. These include more comprehensive variant detection, heteroplasmy determination, and sensitivity using the MiSeq FGx sequencer and ForenSeq<sup>®</sup> Universal Analysis Software (UAS) v2. We show initial validation data that were generated using the ForenSeq mtDNA Control Region Kit and analyzed in ForenSeq Universal Analysis Software (UAS) v2.

ForenSeq mtDNA Control Region Kit library preparation uses the same basic workflow as the ForenSeq<sup>®</sup> DNA Signature prep kit (Fig 1) with the exception that two PCR are prepared for each sample, each with a different Primer Mix (Set 1, Set 2). This approach supports a tiled approach to the primer design, which helps to ensure coverage (reads) across the entire control region (Fig 1).

### Figure 2. Sensitivity and Reproducibility Studies for Both BBN and Manual Quant Normalization of mtDNA Libraries

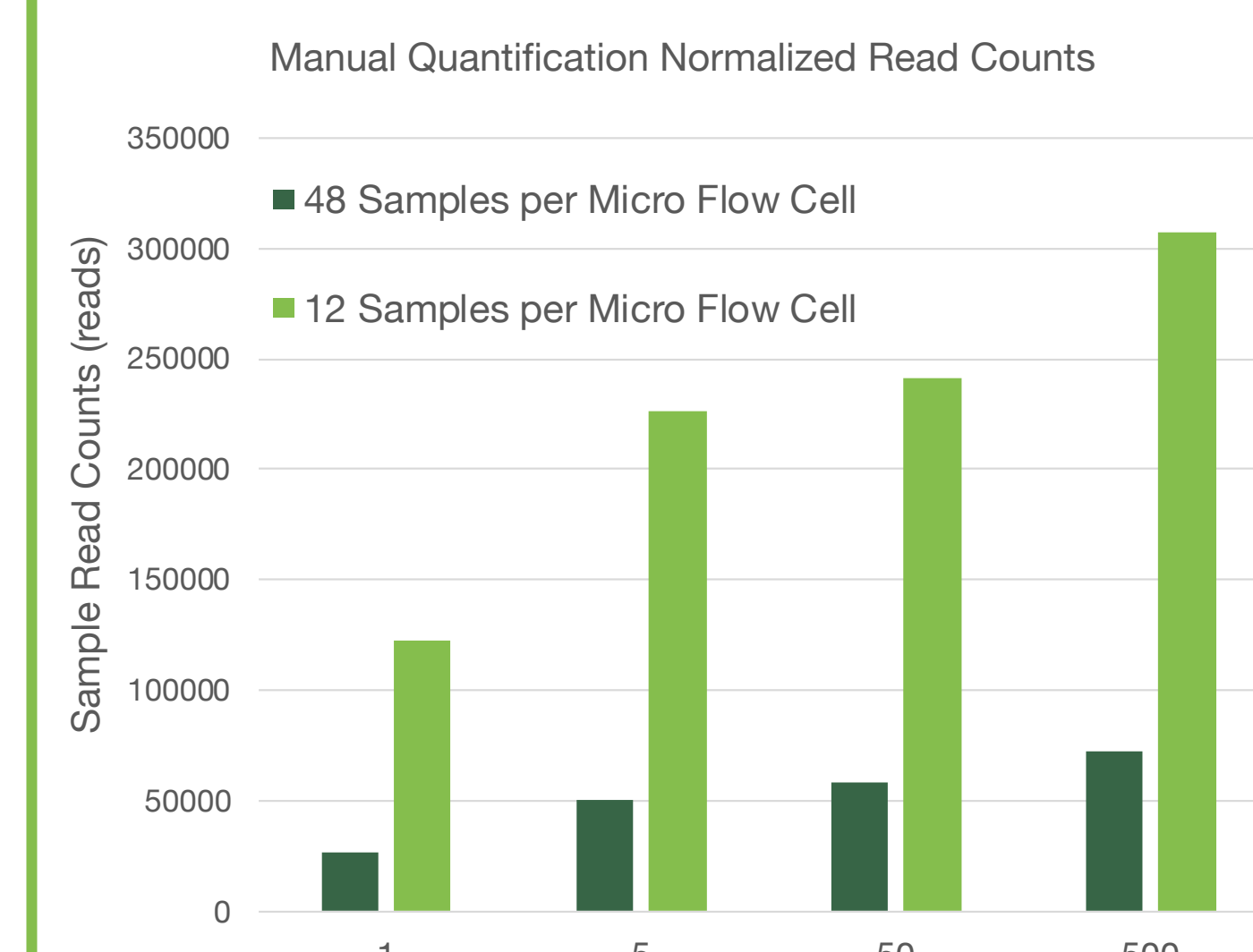


### Figure 3. Sensitivity and Reproducibility Studies: Coverage and Variant Calling from Two Normalization Methods



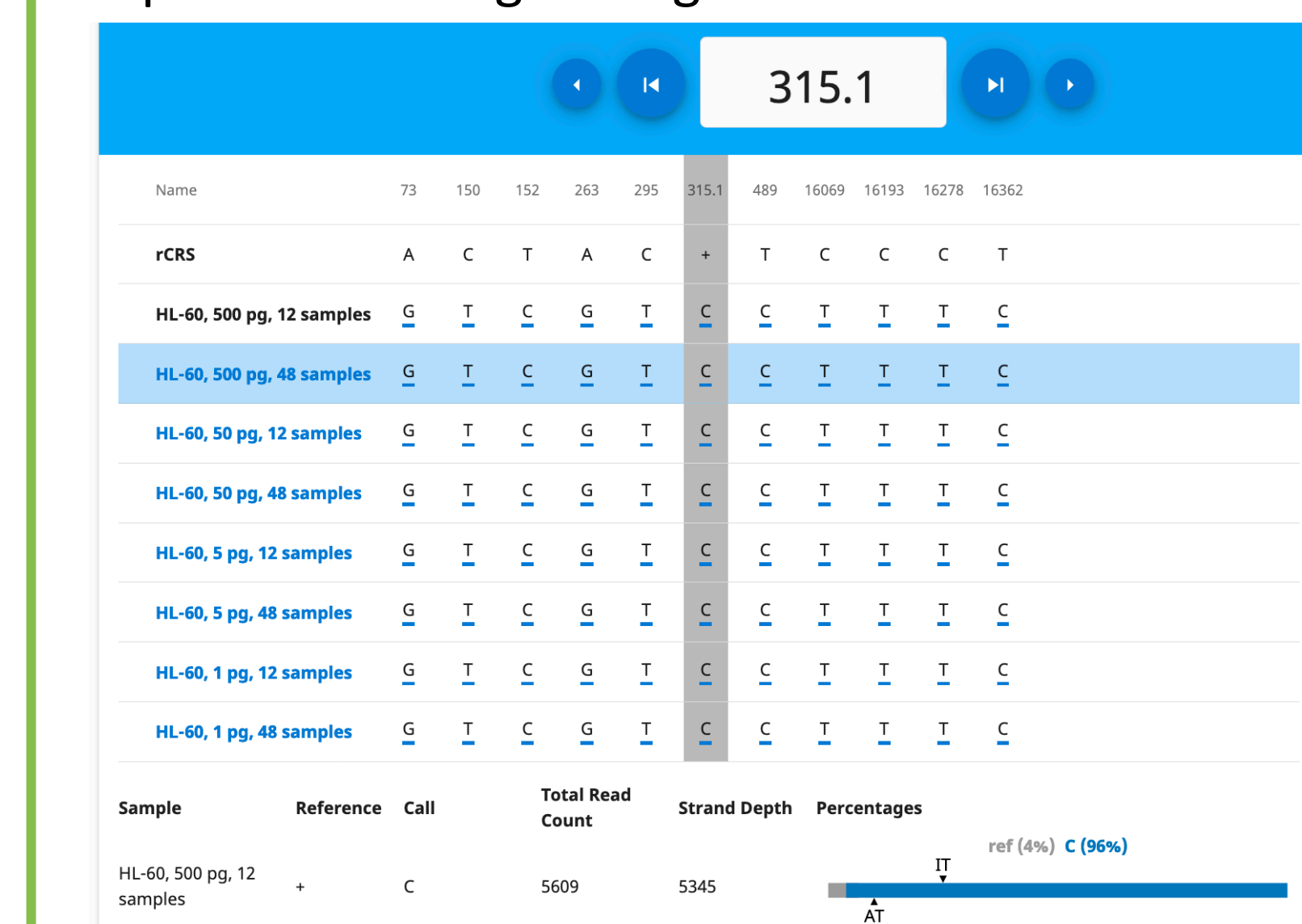
Read coverage and variant calls for ForenSeq libraries in Fig 2: A) ForenSeq UAS 2.0 screenshot of the mtDNA Navigator showing coverage for two overlaid samples across the Control Region. B) ForenSeq UAS 2.0 screenshot showing Sample Compare feature of the software, as employed among seven samples (CRS, Sample 1 @100pg and 5 other samples in the dilution series), that were normalized using BBN. C) ForenSeq UAS 2.0 screenshot showing Sample Compare feature of the software, as employed among the same samples as 3B) but normalized using Manual Quantification and Normalization (QN). The ForenSeq UAS 2.0 Analytical Threshold was 10%; heteroplasmy proportions for Sample 1 at positions 523/524 hovered at ~10%, leading to call rate variation for the 5pg sample.

### Figure 4. Coverage Relative to Sample Multiplexity: 12 vs. 48 Libraries per MiSeq FGx Micro Flow Cell



Reducing the sample multiplexity on a MiSeq FGx micro flow cell by 4-fold (48 samples and 12 samples) increases read coverage 4.1 - 4.6 fold for the 4 ForenSeq libraries represented above (1, 5, 50 and 500 pg gDNA), with a range of 4.1 - 5.0 across all 12 libraries.

### Figure 5. Coverage Relative to Sample Multiplexity: Improved Coverage & High Confidence Variant Calls



ForenSeq UAS 2.0 Sample Compare feature employed across 8 samples (HL-60 500, 50, 5, and 1 pg on MiSeq FGx micro flow cell runs of 12 and 48 samples). All mtDNA control region variants called correctly at the varying DNA inputs in the 48-plex sequencing run and in the 12-plex sequencing run.

### Table 1. Control DNAs, Concordance: ForenSeq mtDNA Control Region Kit and Software

Sample	Input	Reps	Concordance	Expected Variants	Observed Variants
CHR	100 pg	3	93%	73G 195C 204C 207A 263G 309.1C 315.1C 16183C 16189C 16193.1C 16223T 16278T 16519C	64Y1 73G 195C 204C 207A 263G 309.1C 315.1C 16183C 16189C 16193.1C 16223T 16278T 16519C
9947A	100 pg	3	100%	93G 195C 214G 263G 309.1C 309.2C 315.1C 16311C 16519C	93G 195C 214G 263G 309.1C 309.2C 315.1C 16311C 16519C
HL-60	100 pg	3	100%	73G 150T 152C 263G 295T 315.1C 489C 16069T 16193T 16278T 16362C	73G 150T 152C 263G 295T 315.1C 489C 16069T 16193T 16278T 16362C
GM03798	100 pg	3	100%	263G 315.1C 16357C 16519C	263G 315.1C 16357C 16519C
GM10472A	100 pg	3	100%	73G 185A 228A 263G 295T 315.1C 462T 482C 489C 16069T 16126C 16292T	73G 185A 228A 263G 295T 315.1C 462T 482C 489C 16069T 16126C 16292T

\* Analysis Settings used were Analytical Threshold (AT) = 10%, Interpretation Threshold (IT) = 25%, Minimum Quality Score = Q30; Minimum Read Count = 20  
† Heteroplasmy observed in MiSeq FGx data  
‡ Insertion called as minor allele (43.2% avg, n = 3)

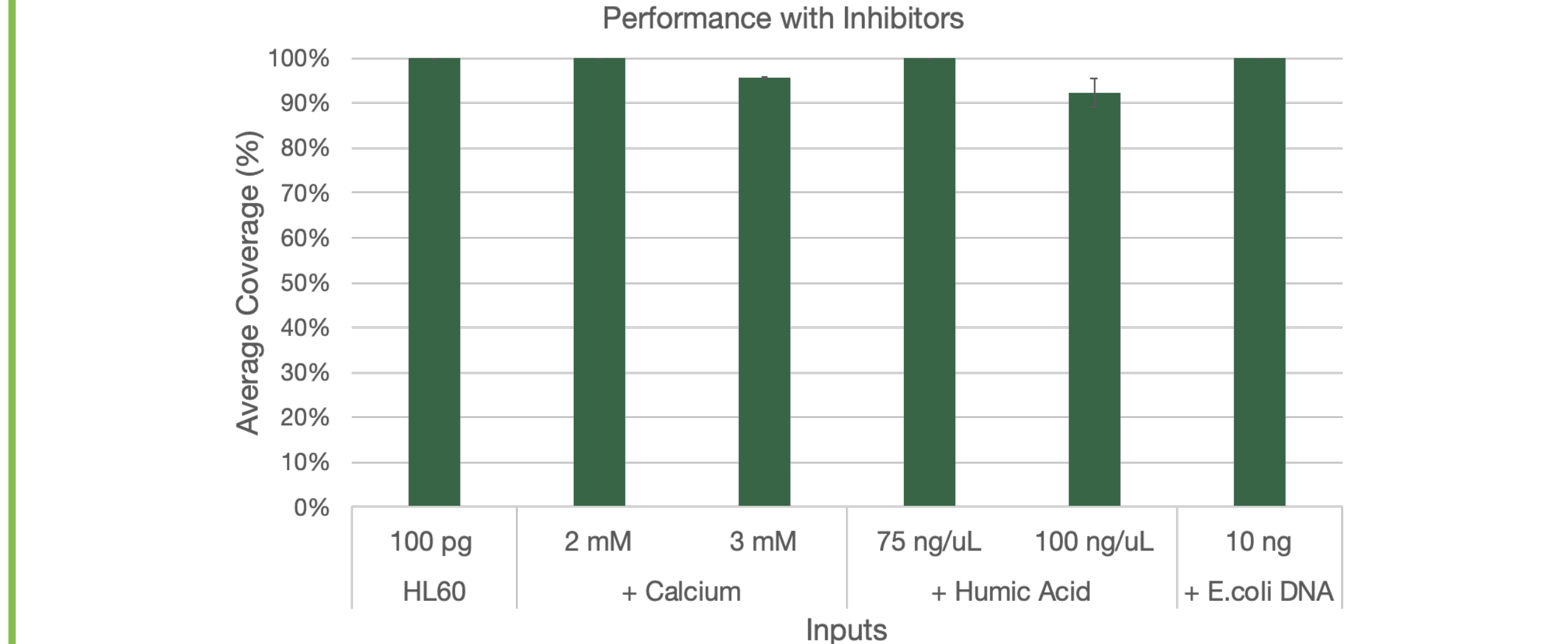
### Table 2. Detection of Minor Contributor Variants in Mixture Samples

Mix Ratio	Expected VAF	Minor Component Range	Major Component Range	Expected Variants	Observed Minor Component Variants
1:3	33 / 67 %	22 - 36 %	64 - 78 %	10	10
1:5	16 / 84 %	10 - 17 %	82 - 90 %	10	10
1:15	6 / 94 %	4 - 7 %	93 - 96 %	10	10

Mix Ratio	Expected VAF	Minor Component Range	Major Component Range	Expected Variants	Observed Minor Component Variants
1:3	33 / 67 %	24 - 36 %	64 - 76 %	10	10
1:5	16 / 84 %	4 - 19 %	81 - 96 %	10	11†
1:15	6 / 94 %	3 - 7 %	93 - 97 %	10	8

\* 10% AT/IT for C-stretches and AC repeat region  
† Drop-in 501V 4.4%

### Figure 6. Coverage of the Control Region in the Presence of PCR Inhibitors



Inhibitors (calcium, humic acid, E. coli DNA) were added to 100 pg of HL-60 gDNA, to final concentrations indicated on the x-axis, in ForenSeq PCR1. Coverage (read counts) was normalized to the control sample with no inhibitor (sample at far left). All control region bases were called correctly. The sample containing E. coli DNA was also analyzed for presence of microbial DNA in the sequencing results. No bacterial sequence was detected as analyzed using BWA alignment to the E. coli genome (data not shown).

### Table 3. Variant Reports For Buccal, 2 cm and 0.5 cm Hair Shaft Extracts from Subject 2

Variant	Ref	Total Read Count	Strand Depth	% A	% C	% G	% T	% Del	% Ref	Total Read Count	Strand Depth	% A	% C	% G	% T	% Del	% Ref	Total Read Count	Strand Depth	% A	% C	% G	% T	% Del	% Ref
730	A	9364	5071	0%	0%	100%	0%	0%	0%	9310	4792	0%	0%	100%	0%	0%	0%	7409	3845	0%	0%	100%	0%	0%	
146C	T	2477	2048	0%	100%	0%	0%	0%	0%	2807	2019	0%	100%	0%	0%	0%	0%	3417	1845	0%	100%	0%	0%	0%	
150T	C	6457	3466	0%	0%	0%	100%	0%	0%	6211	3561	0%	0%	0%	100%	0%	0%	6211	3153	0%	0%	0%	100%	0%	
263G	A	8992	4859	0%	0%	100%	0%	0%	0%	8359	3202	0%	0%	100%	0%	0%	0%	5456	2734	0%	0%	100%	0%	0%	
309.1A	T	158	125	0%	80%	0%	0%	0%	0%	122	92	0%	28%	0%	0%	0%	0%	119	81	0%	88%	0%	0%	0%	
315.1C	T	186	165	0%	80%	0%	0%	0%	0%	138	120	0%	89%	0%	0%	0%	11%	127	119	0%	84%	0%	0%	0%	
323A	A	2455	1233	11%	0%	0%	89%	0%	0%	1108	575	14%	0%	0%	88%	0%	0%	883	439	12%	0%	0%	88%	0%	
524C	A	2448	1244	0%	11%	0%	0%	89%	0%	1102	572	0%	14%	0%	0%	88%	0%	848	437	0%	12%	0%	0%	88%	0%
16126C	T	2291	11547	0%	100%	0%	0%	0%	0%	19488	9102	0%	100%	0%	0%	0%	0%	14733	7391	0%	100%	0%	0%	0%	
16292T	C	18008	9816	0%	0%	100%	0%	0%	0%	29602	15515	0%	0%	100%	0%	0%	0%	21025	10771	0%	0%	100%	0%	0%	
16284T	C	19343	10145	0%	0%	100%	0%	0%	0%	20976	15224	0%	0%	100%	0%	0%	0%	21589	11005	0%	0%	100%	0%	0%	
16291T	C	14870	10175	0%	0%	100%	0%	0%	0%	30260	15368	0%	0%	100%	0%	0%	0%	21668	11071	0%	0%	100%	0%	0%	
16181C	T	5325	3380	0%	100%	0%	0%	0%	0%	7319	3899	0%	100%	0%	0%	0%	0%	5879	2983	0%	100%	0%	0%	0%	

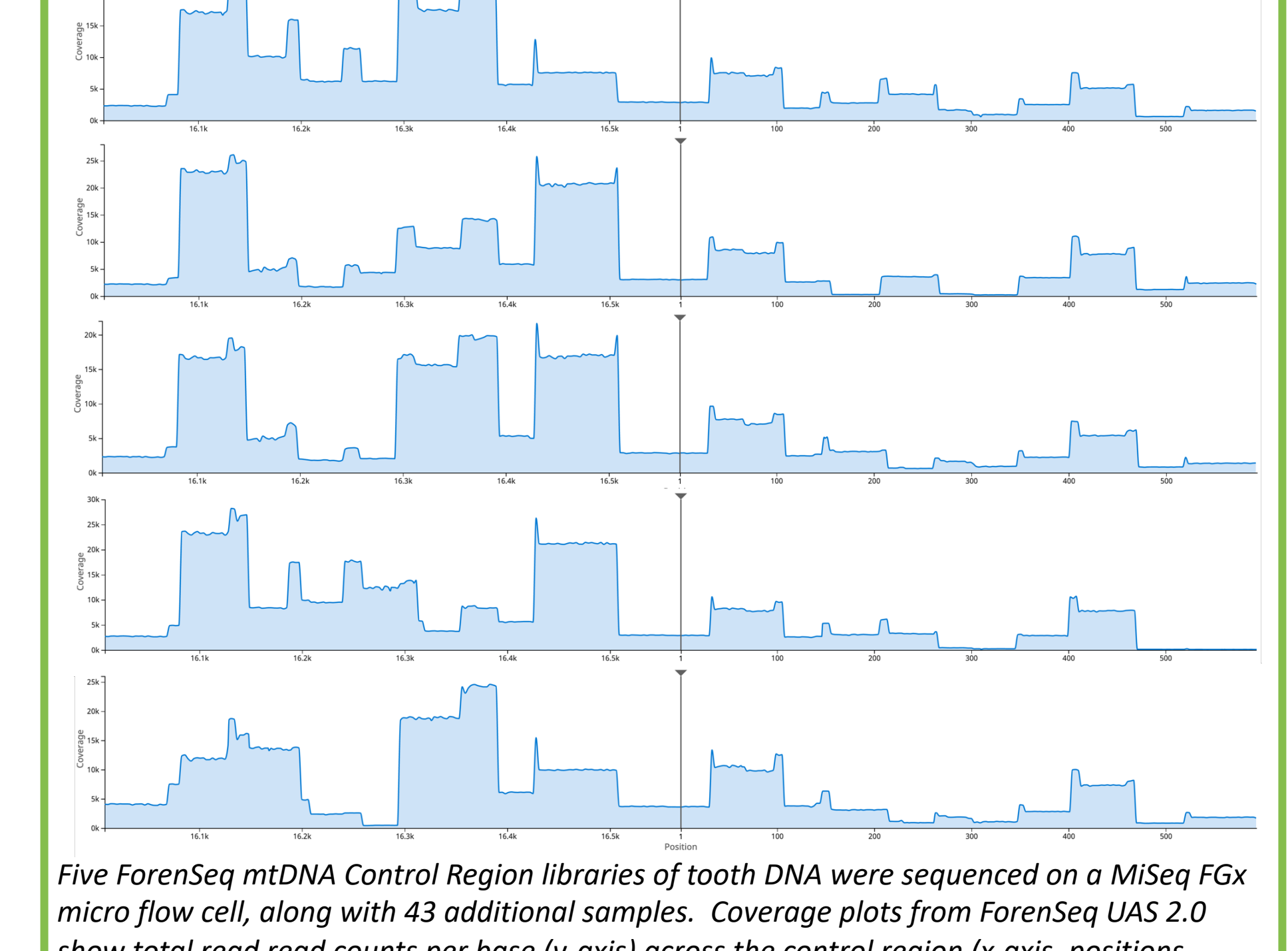
### Table 4. Variant Reports For Buccal, 2 cm and 0.5 cm Hair Shaft Extracts from Subject 3

Variant	Ref	Total Read Count	Strand Depth	% A	% C	% G	% T	% Del	% Ref	Total Read Count	Strand Depth	% A	% C	% G	% T	% Del	% Ref	Total Read Count	Strand Depth	% A	% C	% G	% T	% Del	% Ref
730	A	12053	6480	0%	0%	100%	0%	0%	0%	9930	5149	0%	0%	100%	0%	0%	0%	9915	4682	0%	0%	100%	0%	0%	
146C	T	6119	3533	0%	100%	0%	0%	0%	0%	6919	3162	0%	100%	0%	0%	0%	0%	4821	2580	0%	100%	0%	0%	0%	
150T	C	8670	4839	0%	100%	0%	0%	0%	0%	8371	4363	0%	100%	0%	0%	0%	0%	7124	3719	0%	100%	0%	0%	0%	
200G	A	2383	1732	0%	0%	100%	0%	0%	0%	2963	1300	0%	0%	100%	0%	0%	0%	2488	1259	0%	0%	100%	0%	0%	
215G	A	3202	1729	0%	0%	100%	0%	0%	0%	1981	952	0%	0%	100%	0%	0%	0%	329	325	0%	0%	100%	0%	0%	
263G	A	3133	1791	0%	0%	100%	0%	0%	0%	993	507	0%	0%	100%	0%	0%	0%	571	309	0%	0%	100%	0%	0%	
309.1A	T	72	59	0%	0%	0%	100%	0%	0%	17	16	0%	94%	0%	0%	0%	0%	18	14	0%	75%	0%	0%	0%	
315.1C	T	85	84	0%	98%	0%	0%	0%	0%	18	16	0%	89%	0%	0%	0%	11%	21	17	0%	88%	0%	0%	14%	
318C	T	81	81	0%	100%	0%	0%	0%	0%	20	20	0%	100%	0%	0%	0%	0%	19	17	0%	100%	0%	0%	0%	
326G	A	83	83	0%	0%	100%	0%	0%	0%	18	18	0%	100%	0%	0%	0%	0%	20	18	0%	0%	100%	0%	0%	
489C	T	1453	755	0%	100%	0%	0%	0%	0%	191	105	0%	100%	0%	0%	0%	0%	108	56	0%	100%	0%	0%	0%	
16223T	C	12088	6210	0%	0%	100%	0%	0%	0%	21953	11998	0%	0%	100%	0%	0%	0%	18979	8791	0%	0%	100%	0%	0%	
16181T	T	6070	2029	0%	20%	0%	80%	0%	0%	6506	2819	0%	0%	100%	0%	0%	0%	4248	2108	0%	0%	100%	0%	0%	

### Table 5. Haplogroups Determined from Sequencing Results of Each of the DNA Extracts

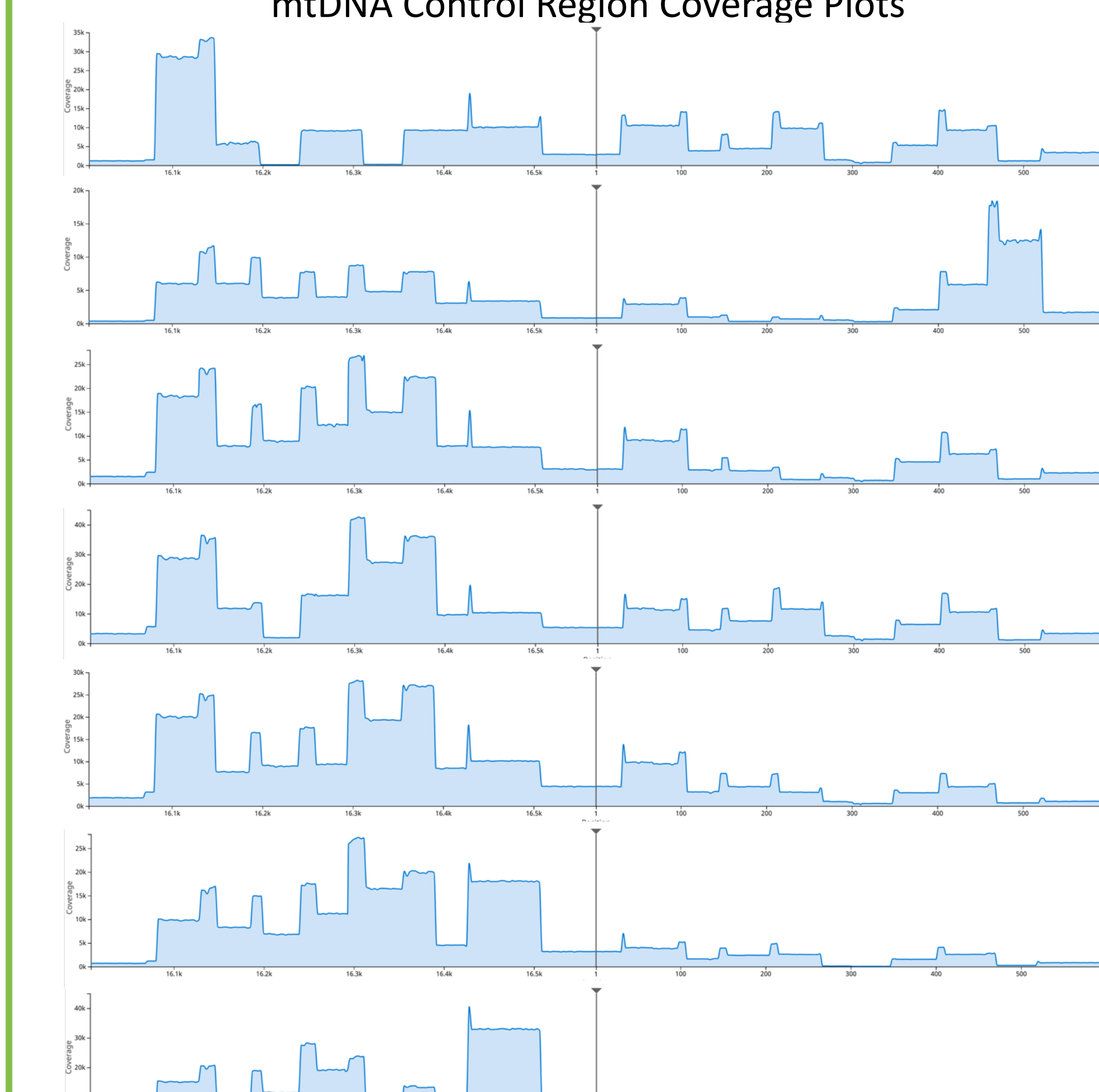
Subject	Type	HaploGroup	Subject	Type	HaploGroup
1	Buccal	B4b1a+207	5	Buccal	R0
0.5 cm Hair	B4b1a+207		0.5 cm Hair	R0	
2.0 cm Hair	B4b1a+207		2.0 cm Hair	R0	
2	Buccal	T2c1+146	8	Buccal	D4b2b
0.5 cm Hair	T2c1+146		0.5 cm Hair	D4b2b	
2.0 cm Hair	T2c1+146		2.0 cm Hair	D4b2b	
3	Buccal	M11b1a	11	Buccal	F1a1a
0.5 cm Hair	M11b1a		0.5 cm Hair	F1a1a	
2.0 cm Hair	M11b1a		2.0 cm Hair	F1a1a	
4	Buccal	HV	12	Buccal	R0
0.5 cm Hair	HV		0.5 cm Hair	R0	
2.0 cm Hair	HV		2.0 cm Hair	R0	

### Figure 7. Tooth Samples: mtDNA Control Region Coverage



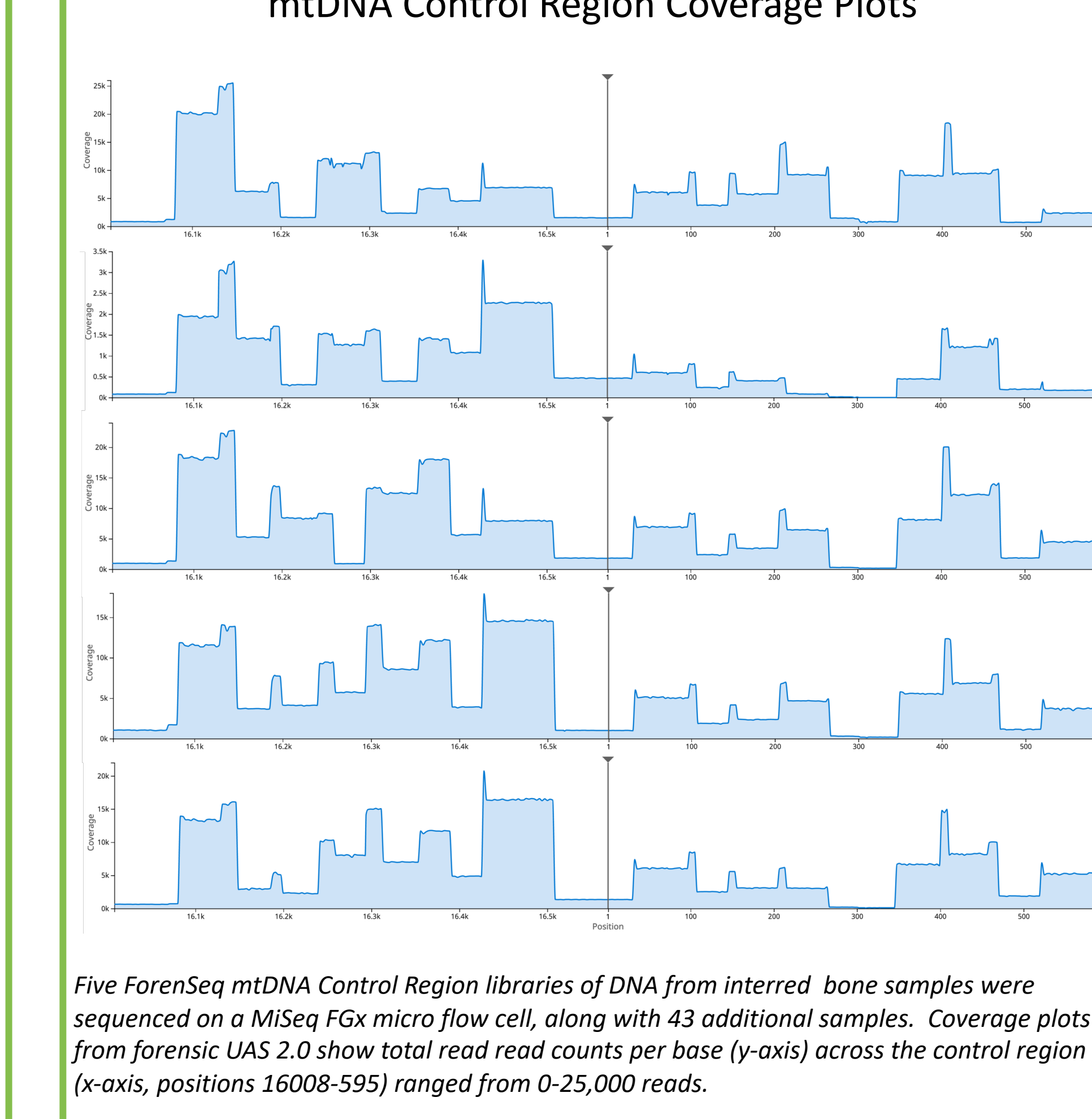
Five ForenSeq mtDNA Control Region libraries of tooth DNA were sequenced on a MiSeq FGx micro flow cell, along with 43 additional samples. Coverage plots from ForenSeq UAS 2.0 show total read read counts per base (y-axis) across the control region (x-axis, positions 16008-595) ranged from 100-28,000 reads.

### Figure 8. Burned Bone Samples: mtDNA Control Region Coverage Plots



Seven ForenSeq mtDNA Control Region libraries of DNA from burned bone samples were sequenced on a MiSeq FGx micro flow cell, along with 41 additional samples. Coverage plots from ForenSeq UAS 2.0 show total read read counts per base (y-axis) across the control region (x-axis, positions 16008-595) ranged from 100-42,000 reads.

### Figure 9. Interred Bone Samples: mtDNA Control Region Coverage Plots



Five ForenSeq mtDNA Control Region libraries of DNA from interred bone samples were sequenced on a MiSeq FGx micro flow cell, along with 43 additional samples. Coverage plots from forenSeq UAS 2.0 show total read read counts per base (y-axis) across the control region (x-axis, positions 16008-595) ranged from 0-25,000 reads.

### Table 6. Variants Observed for Tooth and Bone DNA Extract Samples

Sample	Extraction Method	Input	CR Coverage	Observed Variants
Tooth 1661, InnoGenomics	100 pg	100%	73G 150T 152C 263G 315.1C 523C 524C 16214C 16223T 16311C 16399G	
Tooth 1662, InnoGenomics	100 pg	100%	73G 153G 195C 225A 263G 309.1C 315.1C 16189C 16193.1C 16223T 16278T 16519C	
Tooth 1663, InnoGenomics	100 pg	100%	73G 150T 152C 195C 198T 263G 315.1C 16189C 16223T 16320T 16519C	
Tooth 1664, InnoGenomics	100 pg	100%	73G 146C 152C 195C 263G 309.1C 315.1C 507C 16223T 16278T 16288T 16294T 16309G 16390A 16519C	
Tooth 1665, InnoGenomics	100 pg	100%	64T 93G 185A 189G 200G 236C 247A 263G 315.1C 522DEL 523DEL 16129A 16148T 16168T 16172C 16187T 16188G 16189C 16223T 16230G 16311C 16320T 16325C 16362C	
Cremated Bone, PrePfler BTA	100 pg	100%	73G 150T 263G 315.1C 16189C 16193.1C 16270T 16398A	
Embalmed Bone, Total Damin.	100 pg	100%	73G 150T 185A 228A 263G 295T 309.1C 315.1C 462T 489C 16069T 16126C	
Embalmed Bone, PrePfler BTA	100 pg	100%	73G 143A 146C 152C 189G 195C 263G 315.1C 16129A 16189C 16192T 16223T 16278T 16294T 16309G 16390A	
Early Decomp. Bone, PrePfler BTA	100 pg	100%	73G 152C 263G 315.1C 16093C 16256T 16270T 16399G	
Burned Bone, Total Damin.	100 pg	100%	195C 263G 315.1C 523DEL 524DEL	
Mildly Burned Bone, PrePfler BTA	100 pg	100%	73G 263G 309.1C 315.1C 16126C 16294T 16296T 16519C	
Mildly Burned Bone, PrePfler BTA	100 pg	100%	263G 309.1C 315.1C 316A 16291T 16519C	
Interred Bone 1, Unknown	8000 copies	100%	73G 263G 315.1C 16192T 16256T 16270T 16291T 16399G	
Interred Bone 2, Unknown	4000 copies	96%*	73G 152T 185K 189G 195G 263G 357G 482Y 489Y 523DEL 524DEL 16126C 16187T 16189C 16223Y 16264T 16270T 16278T 16293G 16311C 16519C	
Interred Bone 3, Unknown	8000 copies	100%	152C 263G 309.1C 315.1C 16234T	
Interred Bone 4, Unknown	8000 copies	100%	257G 263G 315.1C 477C 16519C	
Interred Bone 5, Unknown	8000 copies	100%	73G 153G 195C 263G 309.1C 309.2C 315.1C 489G 16189C 16223T 16278T 16294T 16519C	